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10/567,028	10/11/2006	Charles Simon James Pickles	285545US2X PCT	9920	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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		Applica	tion No.	Applicant(s)	
		10/567	,028	PICKLES ET AL.	
Office Action Summary			er	Art Unit	
		Jennifer	Dieterle	4111	
Period fo	The MAILING DATE of this commun r Reply	ication appears on t	the cover sheet with th	e correspondence ad	ddress
A SHO WHIC - Exten after t - If NO - Failur Any re	DRTENED STATUTORY PERIOD F HEVER IS LONGER, FROM THE M sions of time may be available under the provisions SIX (6) MONTHS from the mailing date of this comr period for reply is specified above, the maximum st e to reply within the set or extended period for reply sply received by the Office later than three months of d patent term adjustment. See 37 CFR 1.704(b).	IAILING DATE OF of 37 CFR 1.136(a). In no nunication. atutory period will apply and will, by statute, cause the a	THIS COMMUNICAT event, however, may a reply be swill expire SIX (6) MONTHS fupplication to become ABANDO	ON. e timely filed rom the mailing date of this of the concept (35 U.S.C. § 133).	,
Status					
2a)⊠ 3)□	Responsive to communication(s) file This action is FINAL . Since this application is in condition closed in accordance with the practi	2b)☐ This action is for allowance exce	b non-final. pt for formal matters,		e merits is
Dispositi	on of Claims				
5)□ 6)⊠ 7)□ 8)□ Applicati	Claim(s) 1-15 is/are pending in the a 4a) Of the above claim(s) is/a Claim(s) is/are allowed. Claim(s) 1-15 is/are rejected. Claim(s) is/are objected to. Claim(s) are subject to restrict	re withdrawn from o			
10) -	The specification is objected to by the Grawing(s) filed on is/are Applicant may not request that any objected to a call the cath or declaration is objected to the cath of	a) accepted or ction to the drawing(s the correction is requ) be held in abeyance. uired if the drawing(s) is	See 37 CFR 1.85(a). objected to. See 37 C	` '
Priority u	nder 35 U.S.C. § 119				
a)[Acknowledgment is made of a claim All b) Some * c) None of: 1. Certified copies of the priority 2. Certified copies of the priority 3. Copies of the certified copies application from the Internations ee the attached detailed Office actions.	documents have be documents have be of the priority docu anal Bureau (PCT R	een received. een received in Applic ments have been rece cule 17.2(a)).	cation No eived in this National	l Stage
2) Notice (3) Inform	(s) e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (Fortion Disclosure Statement(s) (PTO/SB/08) No(s)/Mail Date	PTO-948)	4) Interview Summ Paper No(s)/Mai 5) Notice of Inform 6) Other:		

DETAILED ACTION

Status of Claims

Claims 1-15 are pending.

Status of the Rejections

1. Applicant's amendment of 8/4/2009 does not render the application allowable. The rejection to claim 1 has been modified due to applicants' amendment. All other rejections have been maintained in view of applicants' amendment.

Response to Amendment

2. Applicant's amendment of 8/4/2009 does not render the application allowable.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Claims 1, 3, 8, 9, 10, 11, 12 and 15 are rejected under 35 U.S.C. 102(b) as being anticipated by Shiomi et al. (U.S. Pat. No. 5,844,252).

Regarding claims 1, 3, 11, 12 and 15, Shiomi et al. (in figures 2A-2F and figure 6 and col.6, lines 37-41; col. 7, lines 13-15), teach an apparatus comprising: a nonconducting undoped polycrystalline diamond layer (see figure 2D, 13) in electrical connection with an electrically-conducting boron-doped polycrystalline diamond

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projections (e.g., diamond layer figure 2D, 12 and etched electron emission portions 122 that comprise a number or protruberances and recesses; the top of these conducting projections do not contain un-doped diamond see col. 12, lines 57-65) extending at least partially through the layer of nonconducting diamond (figure 2D, 13). Shiomi et al. teach, in figure 6, that the device is connected to an electric circuit. The device has an electric current meter 414 extended into the doped diamond 410 and a base electrode 406 located on the substrate 408 (col. 8, lines 17-30) thus providing a contact surface which *can be* connected to an external circuit.

Regarding claim 8, Shiomi et al. (in figure 6, col. 8, lines 25-17-32) teach patterned aluminum layers (figure 6, 404) that function as gate electrodes placed on the nonconducting diamond layer (figure 6, 411) which is a surface through which the conducting diamond layer (figure 6, 410) is connected. The circuit comprises current meters (figure 6, 414 and 416) which are an external circuit. Shiomi et al. also teach that the areas of conducting diamond are internally connected within the diamond layer by an electrode (figure 6, 402).

Regarding claim 9, application's specification at 0014 states that, "the contact surface of the diamond could be coated with one or more layers of conductive material, optionally in combination with one or more non-conductive layers, to provide 'on board' interconnection." Shiomi et al. (in figure 6, col. 8, lines 25-27) teach that there are gate electrodes or aluminum layers (figure 6, 404), which are conductive, in contact with a

nonconductive layer (figure 6, 411) which would provide the interconnection of the electrically conducing diamond layer.

Regarding claim 10, Shiomi et al. (in figure 6, col. 8, lines 23-28) has gate electrodes or aluminum, metal, layers through which anode (figure 6, 402) is connected. An electric field that the substrate is exposed to in certain embodiments may be created by any suitable technique, for example, by electrodes that are externally connected to the substrate, through metal portions of the substrate. Therefore, areas of the electrically conducting diamond are externally electrically connected into an electrode. Additionally, Shiomi et al. teach a cathode (figure 6, 406) is connected to the substrate (figure 6, 408) and the cathode would be externally connected to the conducting diamond layer because it is first connected to the substrate and not directly connected to the conducting diamond.

4. Claims 1, 2, 8, 11, 12, 13, 14 and 15 are rejected under 35 U.S.C. 102(b) as being anticipated by Yamazaki (U.S. Pat. No. 5,089,802).

Regarding claims 1, 2, 11, 12 and 15, Yamazaki (in figure 1C, col. 2, lines 64-68; col. 3, lines 4-7) teaches an apparatus comprising: a nonconducting undoped polycrystalline diamond layer (figure 1C, 2, col. 4, lines 54-55) in electrical connection with coplanar electrically-conducting boron-doped polycrystalline diamond projections (figure 1C, 10-1, 10-2) extending at least partially through the layer of nonconducting diamond. Yamazaki teaches a contact surface which can be connected to an external

circuit. Yamazaki teaches lead wires 7-1 and 7-2 are bonded to the electrode 5-1 and 5-2 in figure 1C which clearly indicates that the surfaces *can be* connected to an external circuit (col. 3, lines 25-31).

Regarding claim 8, Yamazaki (in figure 1C, col. 3, lines 25-26, 30-31) teaches areas of electrically conducting diamond (figure 1C, 10-1, 10-2) in electrical connection with nonconducting diamond (figure 1C, 2; they are side-by-side) through which they can be connected to an external circuit (figure 1C; 5-1, 5-2 are electrodes and 7-1, 7-2 are leads).

Regarding claims 13 and 14, Yamazaki teaches that the areas of electrically conducting diamond and co-planer surface are smooth (see figure 1B). If the surfaces are smooth, they are not rough and therefore would have a surface roughness of less than 100nmRa.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claims 2, 4, 13 and 14 are rejected under 35 U.S.C. 103(a) as being obvious over Shiomi et al. (U.S. Pat. No. 5,844,252) in view of Yamazaki (U.S. Pat. No. 5,089,802).

Regarding claim 2, Shiomi et al. teach a device having projections that extend to the surface of the layer of nonconducting diamond presenting areas of electrically conducting diamond, however, Shiomi et al. does not teach that the conducting layer is completely coplanar with the nonconducting layer.

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Yamazaki teaches that the conducting and nonconducting layers can be coplanar (see figure 1B).

Therefore, it would have been obvious to one skilled in the art to modify the pins or projections of conducting diamond in the device of Shiomi et al. to be coplanar with the nonconducting diamond as taught by Yamazaki because the conducting diamond will still perform and have excellent electron emitting characteristics with or without increased surface area of a pin or projection shape.

Regarding claim 4, Shiomi et al. teach a device that has circular areas of electrically conducting diamond. As evidenced by figure 2D, the projections or protuberances can be cones or cylinders (col. 5, line 27). Applicant's specification at section 0009 describes the pins as having a round profile on the analysis surface. No other description is provided, therefore, a cone or cylinder does have a "round" profile. "Round" can mean a circle. When looking down upon a cylinder, it has a "round" or circular top. Additionally, a cone also has a point or a top. While a cone's top is smaller than its bottom, its top is circular in shape or "round." Additionally, if one were to cut across a cone or cylinder at any point, a circular shape would be achieved that would be in contact with the substance to be measured. Therefore, both a cone and cylinder shape have circular or "round" shapes and can be coplanar with the nonconductive surface as evidenced in claim 2 above.

Regarding claims 13 and 14, Shiomi et al. teach a device comprising a conducting diamond film layer in which the conducting diamond layer form pins or projections extending through a layer of nonconducting diamond forming well shaped recessions having rough edges of conducting diamond film.

Shiomi et al. does not teach a device with smooth edges on the conducting diamond film channel/well layer.

Yamazaki teaches that the areas of electrically conducting diamond and coplaner surface are smooth (figure 1B). If the surfaces are smooth, they are not rough and therefore would have a surface roughness of less than 100nmRa.

Therefore, it would have been obvious to one skilled in the art to modify the surface of the conducting diamond to be smooth as taught by Yamazaki because a smooth diamond layer would provide a uniform thickness and a shape which can be uniformly filled with a know amount of additive.

6. Claims 5 and 6 are rejected under 35 U.S.C. 103(a) as being obvious over Shiomi et al. (U.S. Pat. No. 5,844,252) and Yamazaki (U.S. Pat. No. 5,089,802), as applied to claims 1, 2 or 3 above, in view of Malinski et al. (U.S. Pat. No. 5,603,820).

Regarding claims 5 and 6, Shiomi et al. teach a device having a conductive and nonconductive diamond layer with wells, however, it does not teach that the wells contain an additive that modifies the sensitivity or selectivity of the device.

Malinski et al. teach an electrode sensor that has a coating of the gas-permeable membrane as previously, such as Nafion, that may be applied onto the electrode by any

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suitable means (col. 9, line 5). The membrane modifies the sensitivity or selectivity of the electrode because now a specific gas will bind or interact with the membrane (col. 9, lines 15-21).

Therefore, it would have been obvious to one skilled in the art to modify the wells of Shiomi et al. by covering them with using a membrane which would be coplanar with the nonconductive surface as taught by Malinski because a membrane will change the selectivity of the electrode behavior in that it will increase selectivity or specificity for a certain gas or analyte thus promoting detection and efficiency of the device.

7. Claim 7 is rejected under 35 U.S.C. 103(a) as being obvious over Shiomi et al. and Malinski et al. (U.S. Pat. No. 5,603,820), as applied to claims 5 or 6, in view of Buttery et al. (U.S. Pat. No. 5,405,618).

Regarding claim 7, Shiomi et al., in view of Malinski et al., teach a device which have a membrane that modifies the sensitivity or selectivity of the electrode, but does not teach that the membrane has an electrochemical (bio-)chemical.

Buttery et al. teach a biomosaic polymer which has biologically active material bound at its surfaces. The biomosaic polymers may be formed into membranes, films, beads, or other structures for a variety of assays. The polymer may be a porous membrane, and the biologically active material may be useful for biospecific reactions such as immunoassays, bioseparations, enzyme-catalyzed reactions and the like (abstract and field of invention).

Therefore, it would have been obvious to one skilled in the art to modify the polymer layer of Shiomi et al. to contain a biochemical as taught by Buttery et al. because a biochemical additive would allow for the selectivity of particular material such as antigen in a biological sample.

8. Claims 3, 4, 9 and 10 are rejected under 35 U.S.C. 103(a) as being obvious over Yamazaki (U.S. Pat. No. 5,089,802) in view of Shiomi et al. (U.S. Pat. No. 5,844,252).

Regarding claim 3 Yamazaki teaches a device having doped diamond layers extending at least partially through the layer of nonconducting diamond, but does not teach the formation of wells.

Shiomi et al. teach a device which having doped diamond layers extending at least partially through the layer of nonconducting diamond that form wells.

Therefore, it would have been obvious to one skilled in the art to modify the device of Yamazaki to recess the doped layers in order to form wells as taught by Shiomi et al. because the wells would allow for the addition of an additive that can be analyte specific.

Regarding claim 4, Yamazaki teaches a device having doped and undoped diamond layers, but does not teach a well or an additive in the well.

Shiomi et al. teach a device that has wells as described in claim 3 above and circular areas of electrically conducting diamond. As evidenced by Shiomi et al. figure 2D, the projections or protuberances can be cones or cylinders (col. 5, line 27).

Applicant's specification at section 0009 describes the pins as having a round profile on the analysis surface. No other description is provided, therefore, a cone or cylinder does have a "round" (i.e. circular) profile on the analysis surface. When looking down upon a cylinder, it has a "round" or circular top. Additionally, a cone also has a point or a top. While a cone's top is smaller than its bottom, its top is circular in shape or "round." Additionally, if one were to cut across a cone or cylinder at any point, a circular shape would be achieved that would be in contact with the substance to be measured. Therefore, both a cone and cylinder shape have circular or "round" analysis surface.

Therefore, it would have been obvious to one skilled in the art to modify the device of Yamazaki to make the recessed areas have a round analysis surface as taught by Shiomi et al. because a round profile provides for multiple working electrode areas.

Regarding claims 9 and 10, Yamazaki teaches a device having doped and undoped diamond layers, but does not teach area of electrically conducting diamond are internally electrically connected.

Application's specification at 0014 states that, "the contact surface of the diamond could be coated with one or more layers of conductive material, optionally in combination with one or more non-conductive layers, to provide 'on board' interconnection." Shiomi et al. teach that there are aluminum layers 404 (figure 2D), which are conductive, in contact with a nonconductive layer 411 which would provide the interconnection of the electrically conducing diamond layer. Shiomi et al. has

aluminum, metal, layers through which anode 402 is connected. An electric field that the substrate is exposed to in certain embodiments may be created by any suitable technique, for example, by electrodes that are externally connected to the substrate, through metal portions of the substrate. Therefore, areas of the electrically conducting diamond are externally electrically connected into an electrode. Additionally, Shiomi et al. teach a cathode 406 is connected to the substrate 408 and the cathode would be externally connected to the conducting diamond layer because it is first connected to the substrate and not directly connected to the conducting diamond.

Therefore, it would have been obvious to one skilled in the art to modify the device of Yamazaki to include an electrically conducting layer such as aluminum to provide an interconnection of the electrically conducting diamond layer and have an additional electrode connected to a separate layer separating it from the conducting layer, thus being externally connected as taught by Shiomi et al. because they will provide the interconnection.

9. Claims 5 and 6 are rejected under 35 U.S.C. 103(a) as being obvious over Yamazaki (U.S. Pat. No. 5,089,802) and Shiomi et al. (U.S. Pat. No. 5,844,252), as applied to claim 1, 2 or 3 above, in view of Malinski et al. (U.S. Pat. No. 5,603,820).

Regarding claims 5 and 6, Yamazaki, in view of Shiomi et al., teaches a device having a conductive and nonconductive diamond layer with wells; however, it does not teach that the wells contain an additive that modifies the sensitivity or selectivity of the device.

Malinski et al. teach an electrode sensor that has a coating of the gas-permeable membrane as previously, such as Nafion, that may be applied onto the electrode by any suitable means (col. 9, line 5). The membrane modifies the sensitivity or selectivity of the electrode because now a specific gas will bind or interact with the membrane col. 9, lines 15-21).

Therefore, it would have been obvious to one skilled in the art to modify the wells of Yamazaki by covering them with a membrane which would be coplanar with the nonconductive surface as taught by Malinski because a membrane will change the selectivity of the electrode behavior in that it will increase selectivity or specificity for a certain gas or analyte thus promoting detection and efficiency of the device.

10. Claim 7 is rejected under 35 U.S.C. 103(a) as being obvious over Yamazaki, Shiomi et al. and Malinski et al., as applied to claim 5 or 6 above, and in view of Buttery et al. (U.S. Pat. No. 5,405,619).

Regarding claim 7, Yamazaki, in view of Shiomi et al. and Malinski et al., teaches a device which has a membrane that modifies the sensitivity or selectivity of the electrode, but does not teach that the membrane has an electrochemical (bio-)chemical.

Buttery et al. teach a biomosaic polymer which has biologically active material bound at its surfaces. The biomosaic polymers may be formed into membranes, films, beads, or other structures for a variety of assays. The polymer may be a porous membrane, and the biologically active material may be useful for biospecific reactions

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such as immunoassays, bioseparations, enzyme-catalyzed reactions and the like (abstract and field of invention).

Therefore, it would have been obvious to one skilled in the art to modify the polymer layer of Yamazaki to contain a biochemical as taught by Buttery et al. because a biochemical additive would allow for the selectivity of particular material such as antigen in a biological sample.

Response to Arguments

Applicant's arguments filed 8/4/2009 have been fully considered but they are not persuasive.

- 11. Applicant's response that Shiomi et all. fails to teach pins or projections of electrically conducting diamond extending at least partially through the layer of non-conducting diamond is acknowledged, but is not persuasive. Shiomi et al. does teach electrically conducting projections 122 present through the layer of non-conducting diamond 13 (figure 2D). Applicant's interpret Shiomi et al. figure 2D as having "non-conducting tips" (i.e. layer 13) on top of the conducting pins 122. This interpretation is inconsistent with the teaching of Shiomi et al. Applicant is directed to the following location in Shiomi et al. which teach that conduction electron emitting protuberances 122 (i.e. conducting diamond):
 - Col. 5, lines 39-40,
 - Col. 6, lines 37-45,
 - Col. 12, lines 57-65.

Applicant's attention is specifically directed to col. 12, lines 57-65 which states that the conducting diamond portion includes a plurality of electron emitting protuberances **upon** which no first (un-doped) diamond layer is disposed. Based on the actual teaching of Shiomi et al. in col. 6, lines 57-65, applicant's interpretation of the top portion of the pins is non-conducting is incorrect and Shiomi et al. does teach projecting conducting pins 122.

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12. Additionally, Applicant argues that Shiomi et al. teach a field emitting device and does not teach a microelectrode and that the device of Shiomi et al. cannot function as a microelectrode. Applicant is reminded that a microelectrode is the intended use of the device. A recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. See In re Casey, 152 USPQ 235 (CCPA 1967) and In re Otto, 136 USPQ 458,459 (CCPA 1963). Additionally, it is well known that a microelectrode is an electrode (i.e. an electrical conductor) with tip dimensions small less than 1m. Shiomi et al. teach the use of diamond which has excellent electron emitting characteristics and is a widely recognized material for achieving improved voltage and thermal properties (col. 1, lines 29-35). Additionally, Shiomi et al. teach that the protuberance has a diameter of 1 micrometer and a height of 5 micrometers which falls within the range of less than 1m. Therefore, the device of Shiomi et al. is on the micro level and made of diamond and is capable of conducting.

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13. Applicant argues that Yamazaki teaches a thermistor and does not teach a microelectrode. Applicant is reminded that a microelectrode is the intended use of the device. A recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. See In re Casey, 152 USPQ 235 (CCPA 1967) and In re Otto, 136 USPQ 458,459 (CCPA 1963).

14. Applicant next argues that Yamazaki does not have a contact surface which can be connected to an external circuit. Applicant is directed to col. 3, lines 30-31 which state that lead wires 7-1 and 7-2 are bonded to the electrode 5-1 and 5-2 in figure 1C which clearly indicates that the surfaces are connected to an external circuit.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Tessmer et al. teach that two or more layers of various doped or undoped (col. 5, lines 28-31) diamond films can be deposited on a substrate to form a diamond multi-layer structure in which the diamond can be natural, synthetic, or can be polycrystalline diamond (e.g., grown by a CVD technique)(col. 3, lines 39-42). Tessmer et al. teach a process of etching, using a conventional diamond etching technique, to form a mesa structure (col. 5, lines 34-35). The mesa structure is a channel/well structure in which some layers are higher than others (see figures 2B-E). Etching is performed using ECR, electron beam assisted plasma etching (EBAPE), oxidation, or some other diamond etching technique until the desired mesa height is reached making a substantially smooth diamond layer having a predetermined thickness on a substrate (col. 5, lines 38-41).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jennifer Dieterle whose telephone number is (571) 270-7872. The examiner can normally be reached on Monday thru Friday, 8am to 5pm (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nam Nguyen can be reached on (571) 272-1342. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nam X Nguyen/ Supervisory Patent Examiner, Art Unit 1753

JMD 10/13/09